A Phase 2 Study to Assess the Safety and Efficacy of Umbralisib (TGR-1202)

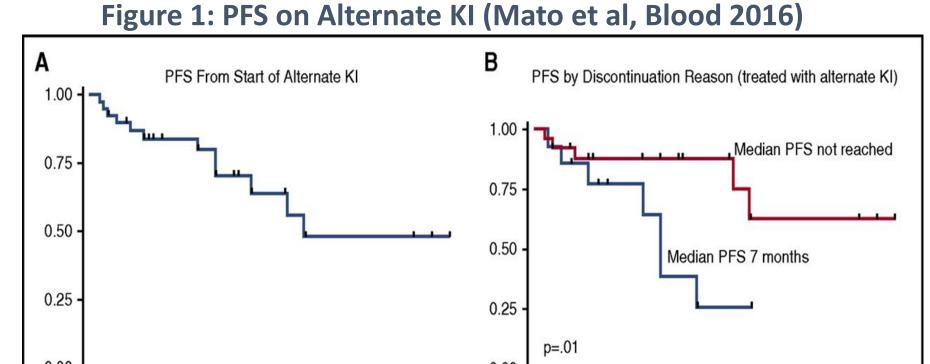
in Patients with Chronic Lymphocytic Leukemia (CLL) who are Intolerant to Prior BTK or Pl3Kδ Inhibitor Therapy

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Background / Rationale

- *Kinase inhibitor (KI) therapies such as ibrutinib are Umbralisib generally well tolerated, although intolerance is the most common reason for discontinuation in practice (~50% of discontinuations, Mato et al, Blood 2016, Annals Oncology 2017). Data show that KI-intolerant patients (pts) can be successfully treated with an alternate KI (Fig 1). It has also been reported that ibrutinib interruptions ≥ 8 days can negatively affect PFS (Barr et al, Blood 2017). Therefore, pts who discontinue a KI due to intolerance represent an unmet need.
- Fortunately, data suggest that alternate KIs can have non-overlapping toxicity profiles.



- Umbralisib (TGR-1202) is a next generation PI3Kδ inhibitor, with a unique structure and activity profile distinct from other PI3Kδ inhibitors, including:
- \clubsuit A differentiated safety profile from other PI3K δ inhibitors, notably with respect to hepatic toxicity and colitis observed to date;
- A prolonged half-life that enables once-daily dosing;
- \clubsuit High selectivity to the δ isoform of PI3K; and
- *Also targets casein kinase-1 epsilon (CK-1ε), a protein which may inhibit regulatory T-cell function

Comparison of Structure and Lipid Kinase Inhibition Profile

| • | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| Umbralisib | Idelalisib | Duvelisib | | | | |
| F N N N N N N N N N N N N N N N N N N N | F O N N N N N N N N N N N N N N N N N N | CI O N N N N N N N N N N N N N N N N N N | | | | |
| Class I Pl3K Class II Pl3K Class III Pl3K Class III Pl3K Type III Pl4K Type II Pl4K Type II PlP5K Type II PlP5K Type III PlP5K | Class I Pl3K Class II Pl3K Class III Pl3K Class III Pl3K Type III Pl4K Type II Pl4K Type II PlP5K Type III PlP5K Type III PlP5K Type III PlP5K | Class II PI3K Class III PI3K Class III PI3K Type III PI4K Type II PI4K Type II PIP5K Type III PIP5K Type III PIP5K | | | | |

DiscoverRx KinomeScan

Study Design/Methods

Phase II, multicenter, single-arm trial of umbralisib monotherapy in CLL pts who are intolerant to prior KI therapy (NCT02742090).

CLL Progression —— KI Intolerance

- *Enrollment: Up to 50 patients who have discontinued prior therapy with a BTK or PI3K δ inhibitor due to intolerance.
- *Peripheral blood samples were collected at screening for central analysis of high-risk cytogenetics and BTK/PI3K mutations/deletions.

Prior KI Therapy: BTK or PI3Kδ

Intolerance is defined as unacceptable toxicity where, in the opinion of the investigator, treatment should be discontinued in spite of optimal supportive care as a result of one of the following:

- ❖ 2 or more Grade ≥ 2 non-hematological toxicities
- ❖ 1 or more Grade ≥ 3 non-hematological toxicity
- ❖ 1 or more Grade 3 neutropenia with infection or fever or
- Grade 4 heme toxicity which persists to the point that the investigator chose to stop therapy due to toxicity NOT progression

Toxicity must have resolved to ≤ Grade 1 prior to umbralisib dosing



Study Objectives

Primary Objective To determine the PFS of umbralisib in CLL pts intolerant to prior BTK / PI3Kδ inhibitors

- **Secondary Objectives** To evaluate the ORR and duration of
- response (DOR) of umbralisib. To evaluate Time to Treatment Failure with
- umbralisib as compared to prior KI therapy. To evaluate the safety profile of umbralisib as compared to the prior KI therapy.

Key Eligibility Criteria

- CLL pts whose prior therapy with a BTK inhibitor (ibrutinib, acalabrutinib) or a PI3Kδ inhibitor (idelalisib, duvelisib) was d/c due to intolerance within 12 mos of C1/D1.
- Meets study KI Intolerance definition
- Off prior KI for at least 14 days following discontinuation w/o disease progression.
- * ANC > 1,000/μL, platelet count > 30,000/μL.

Results

Demographics

Required Tx within 6 mos of Prior KI, n

| Evaluable for Safety, n | 47 | Gene | CLL related | |
|----------------------------------------|--------------|------------------------------|-------------|--|
| Evaluable for PFS [†] , n | 46 | | variants | |
| Evaluable for Response* | 22 | ATM | 9 (22%) | |
| Median Age, years (range) | 71 (52 – 96) | ВТК | 1 (2%) | |
| Male/Female | 27 / 20 | CDKN2A | 2 (5%) | |
| ECOG, 0/1/2 | 21 / 22 / 4 | MIR-16A | 1 (2%) | |
| 17p del, n (%) | 7 (15%) | MLL2 | 3 (7%) | |
| 11q del, n (%) | 8 (17%) | NOTCH 1 | 4 (10%) | |
| IGHV Unmutated, n (%) | 25 (53%) | PLCG2 | 2 (5%) | |
| Bulky Disease, n (%) | 20 (43%) | RB1 | 2 (5%) | |
| Prior Therapy Regimens, median (range) | 2 (1 – 7) | SF3B1 | 6 (15%) | |
| Prior BTK inhibitor, n | 44 (94%) | SPEN | 3 (7%) | |
| Prior PI3K inhibitor, n | 7 (15%) | | | |
| Median Time on Prior KI, mos (range) | 9 (1 – 38) | TP53 | 9 (22%) | |
| Median Time from D/C of Prior KI to | | ZFHX3 | 1 (2%) | |
| Enrollment, mos (range) | 3 (1 – 12) | Data available for 41/47 pts | | |
| | 0.0 (==0.1) | | | |

[†]1 patient with confirmed Richter's Transformation at enrollment (not eligible); excluded from PFS analysis *Patients with progressive disease at study entry

36 (77%)

Adverse Event Leading to Prior BTK/PI3K Discontinuation

| Intolerant AE on Prior TKI | Grade 2 (n) | Grade 3 (n) | Grade 4 (n) | Total # of events (n) |
|----------------------------|-------------|-------------|-------------|-----------------------|
| Rash | 5 | 7 | | 12 |
| Arthralgia | 3 | 5 | 1 | 9 |
| Atrial Fibrillation | 4 | 2 | 1 | 7 |
| Bleeding | 1 | 3 | | 4 |
| Fatigue | 2 | 2 | | 4 |
| Anorexia/Weight Loss | 3 | | | 3 |
| Colitis | 1 | 2 | | 3 |
| Congestive Heart Failure | 1 | 1 | 1 | 3 |
| Pneumonitis | 2 | 1 | | 3 |
| Bruising | 2 | | | 2 |
| Diarrhea | 1 | 1 | | 2 |
| Hypertension | 2 | | | 2 |
| Nausea | 2 | | | 2 |
| Cough | 1 | | | 1 |
| Dizziness | 1 | | | 1 |
| Edema | 1 | | | 1 |
| GI Toxicity | 1 | | | 1 |
| Infection | | 1 | | 1 |
| Malaise | 1 | | | 1 |
| Mental Status Change | 1 | | | 1 |
| Myalgia | 1 | | | 1 |
| Pericardial Effusion | | | 1 | 1 |
| Respiratory failure | | | 1 | 1 |
| Thalamic Lesions | | 1 | | 1 |
| Transaminitis | 1 | | | 1 |
| TOTAL | 37 | 26 | 5 | 68 |

Safety

All Grade / All Causality AE's >10% or Grade 3/4 > 5% (N = 47)

| Adverse Event | All Grades (n) | % All Grades | Grade 3/4 (n) | % Grade 3/4 |
|-------------------------|----------------|--------------|----------------------|-------------|
| Nausea | 20 | 43% | | |
| Diarrhea | 19 | 40% | 3 | 6% |
| Thrombocytopenia | 12 | 26% | 4 | 9% |
| Insomnia | 11 | 23% | | |
| Fatigue | 10 | 21% | | |
| Dizziness | 9 | 19% | | |
| Neutropenia | 9 | 19% | 7 | 15% |
| Headache | 8 | 17% | | |
| Anemia | 6 | 13% | 1 | 2% |
| Contusion | 6 | 13% | | |
| Cough | 6 | 13% | | |
| Edema peripheral | 6 | 13% | | |
| Pyrexia | 6 | 13% | 1 | 2% |
| Arthralgia | 5 | 11% | | |
| Myalgia | 5 | 11% | | |
| Pain in extremity | 5 | 11% | | |
| Paresthesia | 5 | 11% | | |
| Productive Cough | 5 | 11% | | |
| Rash | 5 | 11% | | |

- ❖ Of the 19 events of diarrhea, 10 were Grade 1, 6 were Grade 2, and 3 were Grade 3
- 3 (6%) pts had dose reductions (headache, neutropenia,
- ❖ 1 case of colitis reported after 6 weeks on treatment recovered after 2 week hold, and did not recur on rechallenge at 600 mg daily – patient achieved a Complete Response (17p del) now 16+ months on study
- ❖ 6 (13%) pts discontinued treatment due to an umbralisib AE (pneumonia (2), pancreatitis, pneumonitis, dermatitis, rash); 1 was a recurrent AE's that led to prior KI intolerance (rash)
- 2 additional pts had recurrence of an AE that led to intolerance on prior KI, however both recurrences were of lesser severity (diarrhea G1, nausea G1) and neither led to discontinuation / dose-modification of umbralisib * As of the cut-off date, 47% of pts have been on
- umbralisib for a longer duration than their prior KI

Swimmer Plot (Duration of Exposure)

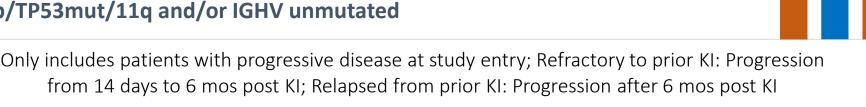
Efficacy

Best % Change in Nodal Lesions

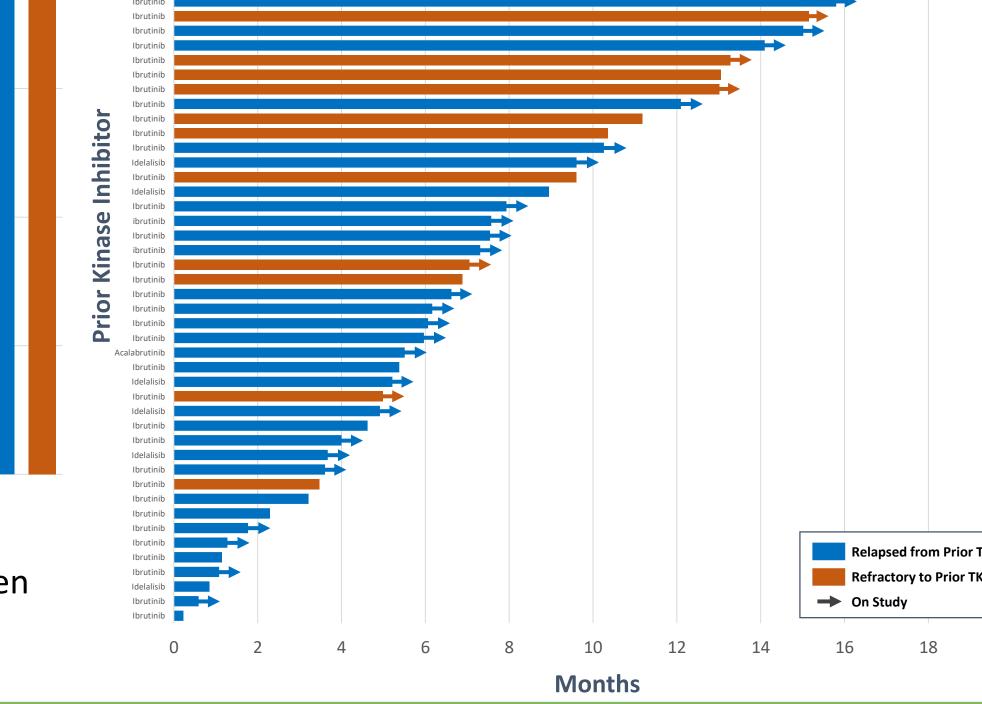
Relapsed from Prior TKI

Refractory to Prior TK

17p/TP53mut/11g and/or IGHV unmutated



* PFS: Median progression-free survival has not been reached with a median follow-up of 9.5 months.



Conclusions

- * Favorable safety profile: Umbralisib demonstrates a favorable safety profile in pts intolerant to prior BTK or PI3K therapy.
- * Well tolerated: Only 13% discontinuations due to an AE. Only 1 discontinuation due to a recurrent AE also experienced with prior KI therapy.
- * Significant clinical activity: In this R/R CLL population, of which 77% required treatment within 6 months of prior KI discontinuation, 68% had a high-risk molecular / genetic marker and 6% had an ibrutinib resistance mutation, significant clinical activity has been observed and median PFS has not been reached.