

# A Phase I Trial Of Ublituximab, A Novel Glycoengineered Anti-CD20 mAb, In Combination With TGR-1202, A Next Generation PI3Kδ Inhibitor, In Patients With Chronic Lymphocytic Leukemia And Non-Hodgkin's Lymphoma



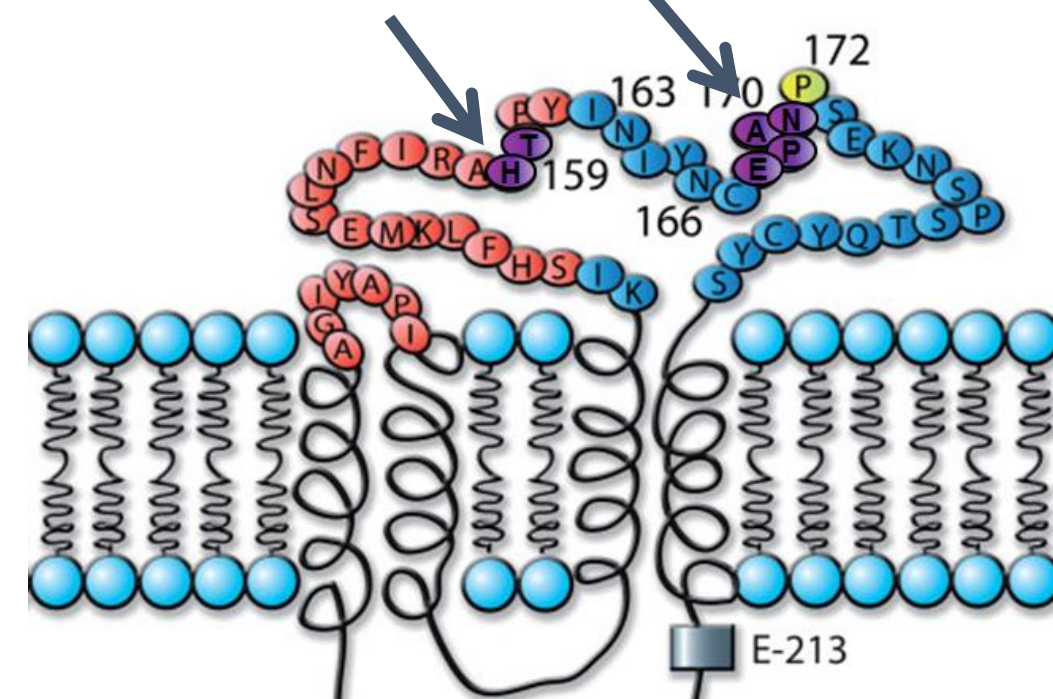
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## Background

### Ublituximab

- Ublituximab (TG-1101) is a novel, chimeric monoclonal antibody (mAb) targeting a unique epitope on the CD20 antigen, and glycoengineered to enhance affinity for all variants of FcγRIIIa receptors, thereby demonstrating greater antibody-dependent cellular cytotoxicity (ADCC) activity than rituximab and ofatumumab
- Two Phase I trials of single agent ublituximab in patients with relapsed/refractory CLL reported response rates of 67% (ASCO 2014) and 45% (EHA 2013), with rapid and sustained lymphocyte depletion.

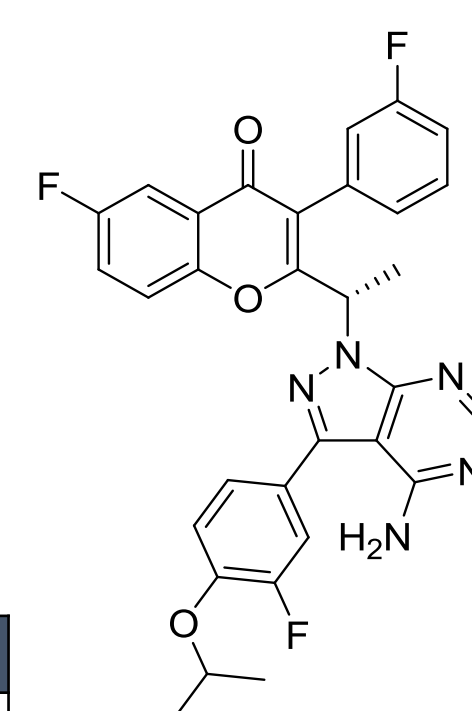


**Red:** Amino acids contributing to ofatumumab binding  
**Yellow:** Amino acids essential for rituximab, but not ofatumumab binding  
**Purple:** Core amino acids of ublituximab epitope

### TGR-1202

- PI3Kδ is highly expressed in cells of hematopoietic origin and is often upregulated in lymphoid malignancies
- TGR-1202 is a novel, next generation PI3Kδ inhibitor, with a unique structure which contributes to:

- Extended half-life and accumulation that enables once-daily dosing
- Differentiated safety profile from other PI3Kδ inhibitors in development, notably absent of hepatotoxicity to date



Isoform	Fold-selectivity			
	PI3Kα	PI3Kβ	PI3Kγ	PI3Kδ
TGR-1202	>10000	>50	>48	1
<sup>1</sup> Idelalisib	>300	>200	>40	1
<sup>2</sup> PI-145	>640	>34	>11	1

<sup>1</sup>Flinn et al. 2009; <sup>2</sup>Porter et al. 2012

## Results

### Demographics

Evaluable for Safety (n)	21	
Evaluable for Efficacy <sup>†</sup> (n)	15	
Median Age, years (range)	64 (35 – 82)	
Male/Female	12/9	
Histology	CLL/SLL	8
	Richter's	1
	FL	5
	DLBCL	7
ECOG, 0/1/2	8/13/0	
Prior Therapies, median (range)	3 (1 – 9)	
Patients with ≥ 3 Prior Therapies (%)	57%	
Prior RTX Based Therapies, median (range)	2 (1 – 7)	
Refractory to Prior Therapy, n (%)	8 (38%)	

<sup>†</sup>6 Patients Too Early To Evaluate

- Enrollment is ongoing, currently in dose-escalation Cohort 3

### Safety

#### Related AE's Occurring in ≥ 2 Patients (n = 21)

Adverse Event	Total AE's All Grades n (%)	UTX Related		TGR-1202 Related	
		G 1/2 n	G 3/4 n	G 1/2 n	G 3/4 n
Infusion Related Reaction (IRR)	10 (48%)	9	1	0	0
Neutropenia <sup>†</sup>	8 (38%)	3	4	3	5
Diarrhea	6 (29%)	0	0	6	0
Nausea <sup>†</sup>	6 (29%)	2	0	6	2
Hoarseness <sup>†</sup>	2 (10%)	1	0	2	0
Muscle Aches	2 (10%)	0	0	2	0
Fatigue <sup>†</sup>	2 (10%)	1	0	2	0

<sup>†</sup>Causality of some events was attributed to both UTX and TGR-1202

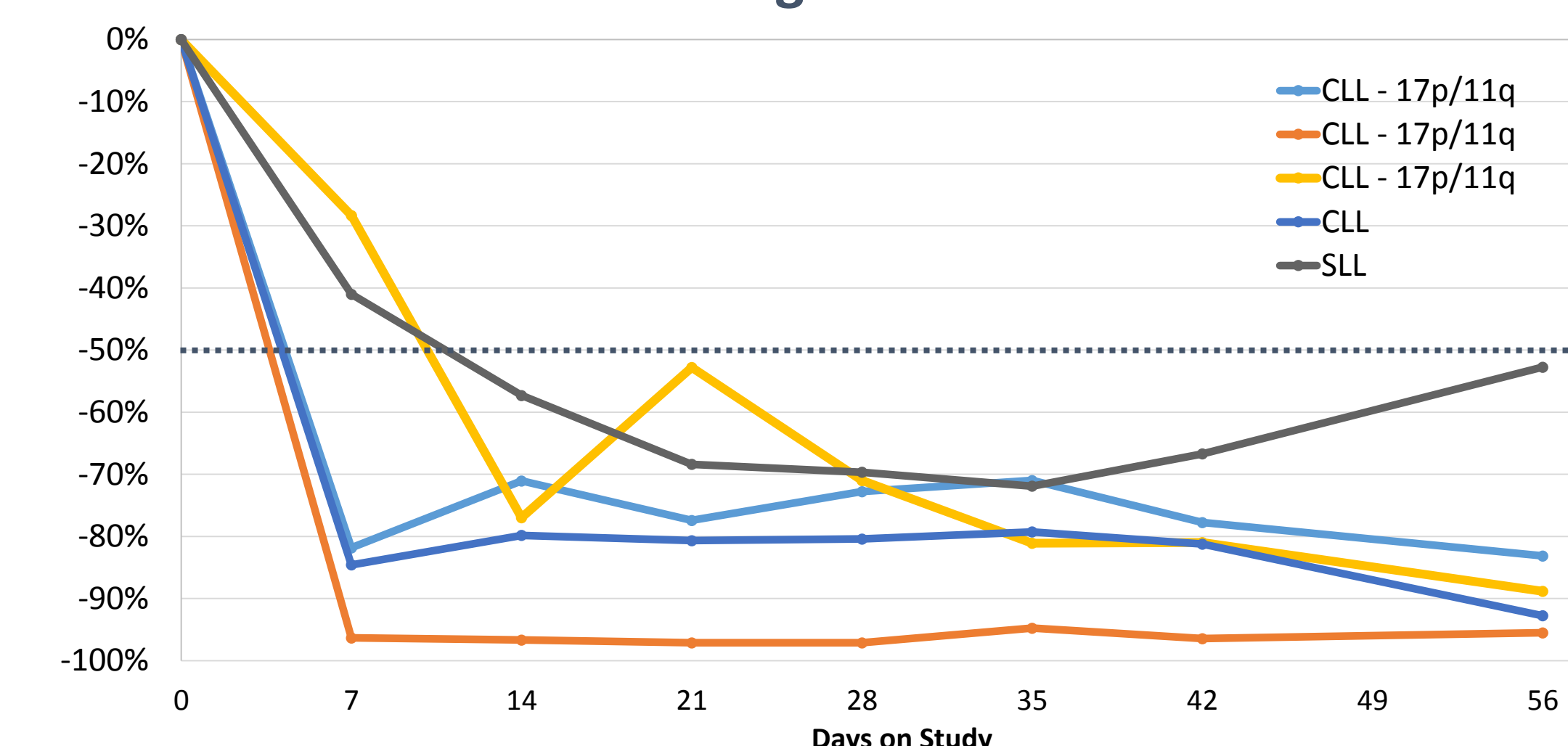
- Adverse event profile has been similar across all cohorts to date
- No patients had their UTX or TGR dose reduced
- No drug related increases in ALT/AST observed to date
- IRR and Neutropenia were managed through dose delays
  - 1 neutropenia related dose delay met the criteria for a DLT in a CLL patient at UTX 600 mg + TGR 800 mg, necessitating enrollment of additional patients into this cohort
- 1 patient came off study (without progressive disease) due to Gr. 1 itching, assessed as possibly related to TGR-1202, no other patients discontinued due to an adverse event

### Efficacy in Chronic Lymphocytic Leukemia

Cytogenetics	Pts (n)	PR n (%)	SD n (%)	ORR n (%)	PD n (%)
High Risk	3	2 (67%)	1 (33%)	2 (67%)	-
Normal	2	2 (100%)	-	2 (100%)	-
<b>Total</b>	<b>5</b>	<b>4 (80%)</b>	<b>1 (20%)</b>	<b>4 (80%)</b>	<b>-</b>

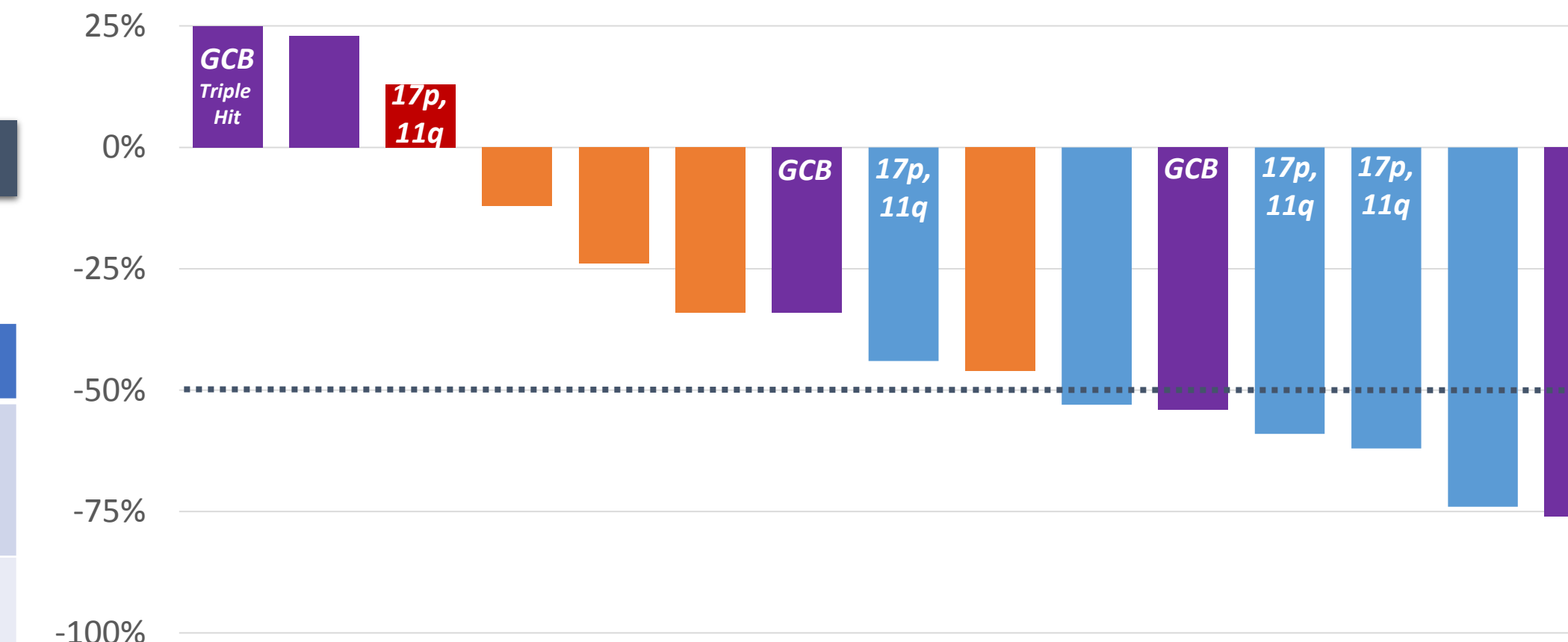
- High Risk = Patients with both 17p del and 11q del
- 80% (4/5) of patients achieved a PR per Hallek/Cheson criteria, with remaining patient with 17p/11q del CLL achieving a 44% nodal reduction at 1<sup>st</sup> response assessment
- 100% (5/5) of CLL/SLL patients achieved a >50% reduction in ALC by first efficacy assessment

#### Percent Change in ALC from Baseline



### Overall Efficacy

#### Percent Change from Baseline in Nodal Size at First Assessment



Type	Pts (n)	Median Prior Rx	PR n (%)	ORR n (%)	PD (n)	% pts ≥ SD for 12 wks
CLL/SLL	5	2 (1 – 3)	4 (80%)	4 (80%)	-	5 (100%)
Richter's	1	1	-	-	-	1 (100%)
FL	4	6 (3 – 8)	-	-	-	4 (100%)
DLBCL	5	3 (1 – 6)	2 (40%)	2 (40%)	1	4 (80%)
<b>Total</b>	<b>15</b>	<b>3 (1 – 8)</b>	<b>6 (40%)</b>	<b>6 (40%)</b>	<b>1</b>	<b>14 (93%)</b>

#### Heavily Pre-Treated Population

	# of Priors	Prior Therapies	Rel/Ref	Best Response
CLL*	2	FCR, Chlorambucil	REL	PR
CLL*	1	FCR	REL	SD
CLL*	1	FCR	REL	PR
CLL	2	FCR, RTX, CC-292	REL	PR
SLL	3	R-CHOP, R-Benda, RTX	REL	PR
Richter's	1	FCR	REL	SD
FL	8	RTX, RTX+CHL(x 2), Zevalin, R-CHOP, R-Benda (x 2), Prednisone	REF	SD
FL	6	CHL, RTX (x 2), R-CVP, Zevalin, R-Benda	REL	SD
FL	5	RTX, R-CHOP, R-ICE, R-EPOCH, SCT	REF	SD
FL	3	RTX (x 2), R-ICE	REL	SD
DLBCL	1	R-CHOP	REL	SD
DLBCL <sup>†</sup>	2	R-CHOP, R-ICE	REF	PD
DLBCL <sup>†</sup>	3	RTX, R-CHOP, R-Gem/Oxaliplatin	REF	PR
DLBCL <sup>†</sup>	6	RTX (x 2), R-CHOP, Benda (x 2), ICE	REF	SD
DLBCL	2	R-CHOP, R-Benda	REL	PR

- Patients were heavily refractory, and included high risk subsets:
  - \*3/5 CLL patients high risk cytogenetics (both 17p del and 11q del)
  - <sup>†</sup>3/5 DLBCL patients with GCB subtype, including one patient with triple hit lymphoma (BCL2, BCL6, and MYC rearrangements)

## Study Design

Study UTX-TGR-103 (NCT02006485) is an ongoing Phase I/Ib trial evaluating the combination of ublituximab + TGR-1202 in patients with relapsed or refractory NHL and CLL.

The study is divided into two parts:

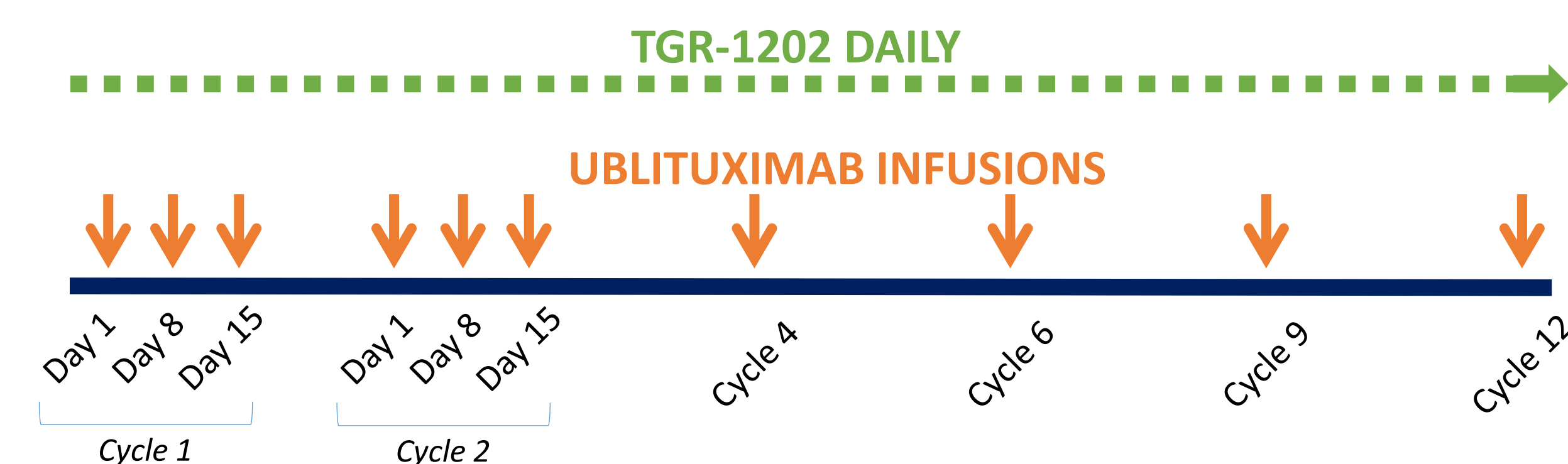
- Phase I:** 3+3 Dose Escalation evaluating Cycle 1 DLTs for CLL & NHL separately
- Phase Ib:** Dose confirmation

### Dose Escalation Schema:

Cohort	Ublituximab NHL Dose	Ublituximab CLL Dose	TGR Dose (QD)
1	900 mg	600 mg	800 mg
2	900 mg	600 mg	1200 mg
3	900 mg	900 mg	400 mg (micronized)
4	900 mg	900 mg	600 mg (micronized)

### Treatment Schedule:

Efficacy is assessed Week 8, and every 12 weeks thereafter. After Month 12, all patients remain on TGR-1202 single agent:



### Study Objectives

#### Primary Objectives

- To determine the Safety, and Maximum Tolerated Dose (MTD) of UTX+TGR

#### Secondary Objectives

- To assess Efficacy (overall response rate, time to response, duration of response, progression free survival)

### Key Eligibility Criteria

- Histologically confirmed B-cell non-Hodgkin lymphoma (NHL) or CLL/small lymphocytic lymphoma (SLL), and select other B-cell lymphoproliferative disorders
- Relapsed after, or refractory to, at least 1 prior treatment regimen with no limit on prior therapies
- ECOG performance status ≤ 2
- Adequate organ system function: ANC ≥ 750/μL; platelets ≥ 50 K/μL
- Patients with Richter's Transformation, or refractory to prior PI3Kδ inhibitors or prior BTK inhibitors are eligible